



# DUKE OFFSHORE LIMITED

Date: 08/04/2021

To

The Manager

Department of Corporate Services

BSE Ltd.

Dalal Street, Fort

Mumbai - 400 001

## DEPARTMENT OF CORPORATE SERVICES

**Sub. - : Certificate under Regulation 40 (9) of SEBI (LODR) Regulation, 2015.  
Script Code: 531471**

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Dear Sir/ Madam,

We are enclosing herewith the certificate received from **M/s. R S Rajpurohit & Co.,** Practicing Company Secretaries, for the Quarter and Year ended 31<sup>st</sup> March, 2021, for certifying the compliance of the Regulation 40 (9) of SEBI (LODR) Regulation, 2015, regarding dispatch of share certificate received for transfer, sub-division, consolidation etc.

Kindly take the same on your records and acknowledge the receipt.

Yours faithfully,

**For Duke Offshore Ltd**

**Avik George Duke**  
Managing Director

DIN: 02613056

Encl: as above





**R S RAJPUROHIT & CO.**

COMPANY SECRETARIES & CORPORATE ADVISERS

MOBILE - 8450938466

E mail- rsrajpurohitandco@gmail.com

**CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LODR) REGULATIONS FOR  
THE YEAR AND QUARTER ENDED 31<sup>ST</sup> MARCH, 2021**

I have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to *Duke Offshore Limited* maintained by *Purva Sharegistry (India) Pvt. Ltd* pertaining to transfer of equity shares of the company for the period from **01<sup>st</sup> October, 2020** to **31<sup>st</sup> March, 2021** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations 2015, by, *Duke Offshore Limited* and based on the information provided by the Company, hereby certify that the Company has delivered during the year and quarter ended on **31<sup>st</sup> March, 2021**.

- A) 1. Share Certificate(s) relating to the Share Transfer form(s) received during the period from **01<sup>st</sup> October, 2020** to **31<sup>st</sup> March, 2021** as entered in the Memorandum of Transfers have been issued within one month from the respective date of lodgement of each form excepting those rejected on technical grounds.
2. The company has delivered during year and quarter ended on 31<sup>st</sup> March, 2021 share certificate in respect of request relating to sub-division (split), renewal and consolidation received during the period from **01<sup>st</sup> October, 2020** to **31<sup>st</sup> March, 2021** within thirty days from the respective date of lodgement expecting those rejected on technical grounds. As per the records made available to us there were no request relating to exchange or endorsement of calls/ allotment monies received during the said period.

For R S Rajpurohit & Co.  
Company Secretaries



ACS Rajvirendra Singh Rajpurohit  
COP No. 15891  
Membership No. 40228

UDIN: A040228C000049600

Date: 10/04/2021

Place: Mumbai